



## Stock Market.d

- 1 ... is a link between savers & borrowers, helps to establish a link between savers & investors
- 2 Which of the following is not the function of financial market?
- 3 ... is the organisations, institutions that provide long term funds.
- 4 When securities are allotted to institutional investors & some selected individuals is referred to as ... .
- 5 Stock exchange is known as ... market for securities.
- 6 ... is a market for lending & borrowing of short term funds.
- 7 ... is also called zero coupon bond.
- 8 Which of the following is not the instruments of money market?
- 9 ... is a link between savers & borrowers, helps to establish a link between savers & Investors.
- 10 Which of the following is not the function of financial market?
- 11 What is SP-500?
- 12 How many companies are included in the SENSEX?
- 13 Which of the following statements is correct?
- 14 KOSPI is the index of which country?
- 15 Which of the following index is not matched correctly?
- 16 Which of the following is not responsible for the fluctuations in the Sensex?
- 17 Which of the following is not a credit rating agency?
- 18 Which of the following words does not belong to the stock exchange?





- 19 What is called "Blue Chip"?
- 20 When was Nifty established?
- 21 Which of the following is not the function of financial market?
- 22 ... is a market for lending & borrowing of short-term funds.
- 23 Which of the following are the instruments of money market?
- 24 The short term financial instruments traded in money market is commonly called
- 25 Which of the following statements is not true with regard to money market?
- 26 Which of the following statements is not true with regard to Treasury bills?
- 27 Which of the following statements is not true with regard to Commercial paper?
- 28 Which of the following statements is not true with regard to Call money?
- 29 Which of the following statements is not true with regard to capital market?
- 30 Which of the following statements is not true with regard to primary market?
- 31 Which of the following statements is not true with regard to stock exchange?
- 32 Which of the following is not a protective function of stock exchange?
- 33 Raj Enterprises wishes to invest ₹1,10,000 in treasury bills. What is the maximum number of treasury bills it can buy with this fund?
- 34 Which of the following statements is not true with regard to financial markets?
- 35 The allocated function is not performed by
- 36 It is a market for short-term funds which deals in monetary assets whose period of maturity is up to one year.
- 37 It is an instrument of short-term borrowing by the Government of India maturing in less than one year.





- 38 Who issues a treasury bill?
- 39 Suppose an investor purchases a 91 days Treasury bill with a face value of ₹2,00,000 for ₹1,92,000. By holding the bill until the maturity date, the investor receives ₹2,00,000. What is the amount of interest received by him?
- 40 It is used as an alternative to bank borrowing for large and creditworthy companies,
- 41 It is a method by which banks borrow from each other to be able to maintain the cash reserve ratio.
- 42 A rise in call money rates makes other sources of finance such as commercial paper and certificates of deposit
- 43 It is a short-term, negotiable, self-liquidating instrument which is used to finance the credit sales of firms.
- 44 The capital market does not consist of
- 45 A company cannot raise capital through the primary market in the form of
- 46 They can be issued to individuals, corporations and companies during periods of tight liquidity when the deposit growth of banks is slow but the demand for credit is high.
- 47 Which of the following participants does not represent the capital market?
- 48 Under this method of floatation in the primary market, a subscription is invited from the general public to invest in the securities of a company through the issue of advertisement.
- 49 PK Enterprises Limited has sold an entire lot of 5,00,000 equity shares at the rate of \$9 each to Prosperous Bank Private Limited. The bank in turn will offer the shares to the general public for subscription at the rate of \$11 per share. Identify the method of floatation being described in the given lines.
- 50 Jaykant is holding a hundred shares of a company. He has been given a privilege offer to subscribe to a new issue of shares of the same company in proportion of 2:1 to the number of shares already possessed by him. Identify the method of floatation being described in the above case.
- 51 Stock Exchange works as a mechanism for valuation of securities through the forces of demand and supply. Identify the related function performed by the stock exchanges.





- 52) Stock exchanges provide an opportunity to the investors to disinvest and invest. Identify the related function of the stock exchange.
- 53) The process of holding shares in electronic form is known as
- 54) One of the common irregularities noted by the Securities and Exchange Board of India during the inspection of a stock exchange was that it was dealing with unregistered subbrokers. Identify the related function of Securities and Exchange Board of India
- 55) It acts like a bank and keeps securities in electronic form on behalf of the investor,
- 56) It serves as an intermediary between the investor and the depository who is authorised to maintain the accounts of dematerialised shares.
- 57) It is a number assigned to each transaction by the stock exchange and is printed on the contract note.
- 58) Identify the correct sequence of steps to be followed in the trading procedure at Stock Exchange
- 59) The mandatory detail that an investor has to provide to the broker at the time of opening a demand account is
- 60) It is a legally enforceable document which is issued by a stock broker within 24 hours of the execution of a trade order.
- 61) On this day, the exchange will deliver the share or make payment to the other broker,
- 62) When is a trade confirmation slip issued to the investor?
- 63) After the trade has been executed, the broker issues a Contract Note to the investor within
- 64) SEBI has constituted a committee under TK Viswanathan for ... .
- 65) SEBI has launched an online registration system for?
- 66) The term Securities includes -
- 67) Securities Market has ... categories of participants
- 68) Securities market is a link between
- 69) In the Options Market securities are traded





- 70 A Put option permits the owner to sell a security to the writer of the option at
- 71 In call option, purchaser has a right when to
- 72 Secondary market comprises of ... platform for purchase and sale of securities
- 73 Stock Exchange was started in the ... when securities of the East India Company were traded in Mumbai & Kolkata
- 74 In Spot market securities are traded for ... delivery and payments
- 75 Merchant bankers, brokers etc bring the suppliers of ... and suppliers of ... together for a variety of transactions

